

ether (PBDE), and 0.01% concentration by weight for cadmium in any homogeneous material layer).

2. Suppliers should inform KLA immediately via the Product Compliance contact email of any RoHS exemptions (e.g., Annex III) used to achieve RoHS conformance in the item, providing:
 - a. The exemption index number from the RoHS Directive.
 - b. The exemption description and expiration date.

Note: Information on item packaging is not required for this section.

Section B. Expectations Related to the European Union's Registration, Evaluation, Authorization and Restriction of Chemicals (REACH) Regulation 1907/2006, as amended, corrected or recast

1. Item packaging must be included in this consideration.
2. A substance listed in REACH Annex XVII ("Restrictions on the Manufacture, Placing on the Market and Use of Certain Dangerous Substances, Mixtures and Articles") must only be present in an item or item packaging in accordance with the conditions of that restriction. Reference REACH Article 67
3. Suppliers should inform KLA immediately via the Product Compliance contact email of any REACH Candidate List substance present in an item or layer of item packaging in excess of 0.1% concentration by weight. The current Candidate List may be found at <http://echa.europa.eu/candidate-list-table>. Reference REACH Article 33

Note: Suppliers can learn more about REACH criteria related to items and item packaging by reading guidance on "substances in articles" provided by the European Chemicals Agency (ECHA) at <http://echa.europa.eu/guidance-documents/guidance-on-reach>

Section C. Expectations Related to Various Battery Regulations

1. These criteria apply to separately (a)Tj EMn "s1(ns)]TJ 0 Tc 0 Tw 2560 Td03 Tw lbr<</Maen ad6 T

- f) If the battery is a lithium battery, UN 38.3 test certificate or report from each possible OEM; and
- g) For batteries with less than 0.0001% mercury by weight, a 'Mercury' declaration from each possible OEM.

*A supplier might source a battery, particularly one embedded in supplied equipment, one or more OEMs

Note: Information on item packaging is not required for this section. This section is related to the European Batteries Directive (2006/66/EC), Chinese battery import, export and transportation regulations, and international air transport regulations related to dangerous goods. Suppliers can learn more about the European Batteries Directive at <http://ec.europa.eu/environment/waste/batteries> and more about international battery transportation concerns http://www.iata.org/whatwedo/cargo/dgr/Pages/lithium_batteries.aspx

Section D. KLA Supplier Expectations for Responsible Sourcing of Conflict Minerals

Additionally, KLA is committed to complying with Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act relating to the use of "conflict minerals." The law requires publicly traded companies, including KLA, to conduct reasonable inquiry and due diligence as necessary with respect to the sourcing of columbite-tantalite, cassiterite, wolframite (or their derivatives tantalum, tin, tungsten, respectively), and gold, including a determination as to whether trade in these minerals directly or indirectly finances or benefits the armed groups in the Democratic Republic of Congo (DRC) or adjoining countries. It is the policy of KLA to conform to all laws regarding the sourcing of conflict minerals that are used in our products. Concurrently, KLA will take steps to reasonably ensure the conflict minerals necessary to the functionality or production of its products are conflict free.

We expect our suppliers to be aware of this requirement and to respond in a timely manner to KLA survey requests outlined below. We recognize that our compliance with these obligations will require time and effort from the members of our supply chain. However, we expect suppliers will take reasonable, good faith steps toward assisting KLA achieve its compliance obligations. Should you have any questions or concerns in complying with KLA Conflict Minerals requirements, please email us at ConflictMinerals@kla.com

1. KLA expects its suppliers to respond to Responsible Minerals Initiative (RMI) Conflict Minerals Request Template (CMRT) survey each year covering the products supplied to KLA that year.
2. KLA expects its suppliers to respond within 2 weeks of receipt of the survey request by completing the Conflict Minerals Request Template (CMRT) survey, or committing to an estimated completion date before the end of the year.

Note: Suppliers can learn more about the Responsible Minerals Initiative (RMI) Conflict Minerals survey at <http://www.responsiblemineralsinitiative.org/conflictmineralsreporting-template/>.